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BEFORE THE ARIZONA BOARD OF OSTEOPATHIC EXAMINERS IN MEDICINE AND SURGERY

IN THE MATTER OF:

MARK L. GRISWOLD, D.O. Holder of License No. 2799

For the practice of osteopathic medicine in the State of Arizona,

Case No. 3728

CONSENT AGREEMENT FOR FINDINGS OF FACT, CONCLUSIONS OF LAW AND PROBATIONARY ORDER

By mutual agreement and understanding, the Arizona Board of Osteopathic Examiners (hereinafter "Board") and Mark L. Griswold, D.O. (hereinafter "Respondent"), the parties hereto, agree to the following disposition to this matter.

- 1. Respondent acknowledges that he has read this Consent Agreement and Order; and, Respondent is aware of and understands the contents of these documents.
- 2. Respondent understands that by entering into this Consent Agreement and Order, he voluntarily relinquishes any rights to a hearing on the matters alleged as grounds for Board action or to seek judicial review of the Consent Agreement and Order in state or federal court.
- 3. Respondent understands that this Consent Agreement and Order will not become effective unless approved by the Board and signed by its Executive Director.
- 4. Respondent further understands that this Consent Agreement and Order, once approved and signed, shall constitute a public record which will be disseminated as a formal action of the Board.

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- 5. Respondent admits to the statement of facts and conclusions of law contained in this Consent Agreement and Order.
- 6. All admissions made by Respondent are solely for final disposition of this matter and any subsequent administrative proceedings or litigation involving the Board, Respondent and the State of Arizona; and, therefore, said admissions by Respondent are not intended for any other purpose or administrative regulatory proceeding or litigation in another state or federal court.
- 7. Respondent acknowledges and agrees that upon signing and returning this document (or a copy thereof) to the Board's Executive Director, he may not later revoke or amend any part of the Consent Agreement and Order, without first obtaining Board approval.

REVIEWED AND ACCEPTED THIS <u>F</u> DAY OF JANUARY, 2008.

Mark L. Griswold, D.O., Respondent

REVIEWED AND APPROVED AS TO FORM THIS # DAY OF JANUARY,

lames W. Stuehringer, Attorney for Respondent

JURISDICTIONAL STATEMENTS

1. The Board is empowered, pursuant to A.R.S. § § 32-1800 et seq., to regulate the licensing and practice of osteopathic medicine in Arizona.

2. The Board has the authority to informally dispose of matters by stipulation, agreed settlement, consent order or default pursuant to A.R.S. § 41-1092.05(F)(5).

FINDINGS OF FACT

- 1. In August 2007, the Board staff received information from the United States Drug Enforcement Administration that the office that the Respondent owned and operated had ordered a large amount of narcotic medications for dispensing purposes.
- 2. A routine check of Board records indicated that the Respondent was not registered to dispense medication from his office.
- 3. On August 7, 2007 the Board ordered the Respondent to complete a bodily fluid test at Southwest Laboratory.
- 4. On August 8, 2007, Southwest Laboratory reported that the Respondent tested positive for the following: Benzodiazepines; Cocaine Metabolite; Meperidine; Opiates; Normeperdine and Hydrocodone.
- 5. Subsequently, the Respondent admitted to the Board's Executive Director and Investigator that he was diverting controlled substances for his personal use.
- 6. Pursuant to an Order of the Board, the Respondent entered and successfully completed a 90 day inpatient treatment program at the Betty Ford Center in Rancho Mirage California and was discharged November 10, 2007.
- 7. The discharge summary written by Dr. Haroutunian of the Betty Ford Center concluded that "the multi-disciplinary treatment team, counselors, case managers and I have found no reason why Dr. Griswold cannot return to practice as soon as is agreeable to the Arizona Diversion Board and monitoring program."

- 8. Respondent returned to Tucson November 10, 2007. Since his return, he has attended A.A. meetings daily. Respondent has provided the Board with the log of his A.A. meetings signed by the chairperson of those meetings.
- 9. Since his discharge from the Betty Ford Center, Respondent has come under the care of Michael A. Sucher, M.D. who he sees or speaks with regularly. Dr. Sucher oversees his post treatment supervision and random urinanalysis. Dr. Sucher has prepared, and Respondent has agreed to, a Recovery Monitoring Agreement dated November 16, 2007, a copy of which has been provided to the Board.
- 10. Respondent and his wife returned to the Betty Ford Center to participate in an optional session known as the Relationship Enhancement Workshop. Their attendance was from November 30, 2007, to December 2, 2007.
- 11. Respondent continues in ongoing treatment and care with his psychiatrist Vicki Berkus, M.D., who has provided the Board with a status report dated December 7, 2007.
- 12. By way of letter dated December 12, 2007, Dr. Sucher has provided an update on Respondent's compliance with aftercare requirements. He concludes "Dr. Griswold has been fully compliant with all program requirements. All drug screens have been negative. His prognosis for ongoing recovery is excellent. There are no safety issues regarding his practice of medicine". Dr. Sucher's letter has been given to the Board.
- 13. Respondent has also come under the care of Brian J. Walker, PhD., who is a licensed psychologist. Dr. Walker has prepared a report dated January 16, 2008, which has been given to the Board. His report concludes:

In my opinion, Dr Griswold is genuinely committed to sobriety and to his various treatment activities. He is remorseful for his struggles with addiction, and he is highly motivated to overcoming them. In my opinion, his prognosis is very good, especially given his extensive aftercare program and his strict compliance with all aspects of it.

CONCLUSIONS OF LAW

- 1. Pursuant to A.R.S. § 32-1800, et seq. the Arizona Board of Osteopathic Examiners in Medicine and Surgery has subject matter and personal jurisdiction in this matter.
- 2. The conduct and circumstances described in paragraphs 4 and 5 above constitute unprofessional conduct as defined in the following paragraphs of A.R.S. § 32-1854:
 - (3) Practicing medicine while under the influence of alcohol, narcotic or hypnotic drugs or any substance that impairs or may impair the licensee's ability to safely and skillfully practice medicine.
 - (5) Prescribing, dispensing or administering controlled substances or prescription only drugs for other than accepted therapeutic purposes.
 - (22) Using controlled substances or prescription-only drugs unless they are provided by a medical practitioner, as defined in section 32-1901, as part of a lawful course of treatment.
 - (23) Any conduct or practice that impairs the licensee's ability to safely and skillfully practice medicine or that may reasonably be expected to do so.

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ORDER

NOW, THEREFORE, IT IS ORDERED AND AGREED AS FOLLOWS:

Pursuant to A.R.S. § § 32-1855(C) and 41-1064(C), License No. 2799 held by MARK L. GRISWOLD, D.O., ("Respondent") shall be placed on PROBATION for 5 years from the date of this order with the following terms and conditions of probation as set forth herein.

- 1. Respondent, having successfully completed an in-patient evaluation and in-patient treatment program at Betty Ford Center and having adhered to all recommendations of his evaluators following discharge from that program, is hereby ordered to appear before the Board prior to practicing medicine in the State of Arizona to answer any questions to the Board's satisfaction.
- 2. Respondent, having come under the care of Dr. Sucher and having in consultation with him developed a plan for after care treatment and monitoring which includes individual and/or group counseling sessions, random bodily fluid testing, an agreement for release of treatment records and reports to the Board, and prohibition of the use of alcohol and controlled substances unless the latter is prescribed or coordinated by his treating physician, is hereby ordered to appear before the Board to answer any questions to the Board's satisfaction.
- 3. From the date of this Order, Respondent shall obtain psychiatric or psychological treatment by a therapist(s) who is either a licensed psychiatrist and/or psychologist and is selected by Respondent and approved by the Board. Respondent shall comply with the therapist recommendation for the frequency of therapy treatment sessions. Respondent shall inform the Board by letter (mailed within ten days of the date of this Order) of the therapist's name; and, Respondent shall undertake and fully cooperate with a program of treatment

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established by the therapist. In the event Respondent changes therapists, he shall give the Board written notice within ten (10) days of said action. Respondent shall not discontinue or reduce the frequency of psychotherapy sessions until he has submitted a written request to the Board and obtained Board approval.

- 4. Respondent's therapist(s) shall receive a copy of this Order and Board staff shall cooperate with and disclose all relevant information in the Board's files concerning Respondent. The treating therapist shall be directed by Respondent to send to the Board a detailed written progress report every month for the remainder of the probation; and Respondent, shall waive any confidentiality concerning his psychotherapy in order that the Board may receive full disclosure of information. The expense of the aforementioned therapy and the reports to the Board by Respondent's therapist shall be the sole responsibility of the Respondent.
- 5. Respondent shall provide a copy of this Order and any subsequent Orders to all facilities where Respondent is currently (or subsequently) employed as a physician and/or has (or subsequently receives) privileges to engage in the practice of medicine. Respondent shall provide a copy of this Order to all treating physicians, dentists and/or health care professionals. Respondent shall continue to make the aforementioned disclosure and provide copies of this Consent Order until the expiration of this Order.
- 6. Respondent may have his license to practice as an osteopathic physician restricted, suspended or revoked by the Board in the future if:
- The Board finds that Respondent does not have the requisite (a) mental, physical and emotional fitness to safely continue the practice of medicine;

 (b) There are new grounds for finding unprofessional conduct concerning Respondent; or,

- (c) Respondent fails to comply fully with the terms and conditions of this Order.
- 7. Respondent shall abstain completely from the consumption of alcoholic beverages or any substance with alcohol (i.e., mouth wash, cough syrups); and, Respondent shall not consume illicit drugs or take any controlled substances (i.e., prescription only drugs), unless his treating physician prescribed such medication for him with the awareness that Respondent has a substance abuse disorder. Respondent shall maintain a monthly log (for the duration of probation) of all prescription only drugs taken by him and such log shall include the following information:
 - (a) the name of the medication;
 - (b) name of prescribing physician;
 - (c) reason for the medication.
- 8. At the first of each month, Respondent shall report by letter to the Board whether or not he is taking any prescription only medication and, if so, a copy of his log reflecting the above information.
- 9. Respondent shall also, as part of his probation: (a) submit to and cooperate in any independent medical or psychological evaluation that is ordered by the Board for Respondent and conducted by the Board's designated physician and/or psychologist which shall be paid for by Respondent; and (b) appear before the Board, upon receipt of a request by written or telephonic notification from the Board's executive director which shall be given at least five (5) days prior to the

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Board meeting; and, (c) submit to random biological fluid testing and promptly provide (i.e., within sixty (60) minutes of notification) required biological fluids for testing and said testing shall be done at the Respondent's expense.

- 10. Respondent shall participate in 90 meetings in 90 days following his November 10, 2007, discharge from the Betty Ford Center and then attend a minimum of three (3) self-help meetings per week through such organizations as A.A., N.A., C.A. and doctor's Caduceus group. Respondent shall keep a log of all meetings attended and have the log signed by the chairperson of the meeting. Respondent will provide the Board with a copy of the signed log the first of every month.
- 11. In the event Respondent moves and ceases to practice medicine in Arizona, he shall give written notice to the Board of his new residence address within twenty (20) days of moving; and, the terms and duration of probation may be stayed by the Board until Respondent returns to practice medicine in Arizona.
- 12. Respondent shall reimburse the Board for all expenses associated with the continued monitoring of this matter.
- 13. Respondent shall continue to meet all licensing requirements such as continuing medical education and renewal requirements including applicable fees pursuant to A.R.S. § 32-1825.
- 14. The Board's Executive Director shall send correspondence to the appropriate state and/or federal law enforcement agency disclosing information in the Board's possession which may establish criminal misconduct by Respondent, i.e., illicit use of controlled substances.
- 15. Respondent's failure to comply with the requirements of this Order shall constitute unprofessional conduct as defined at A.R.S. § 32-1854(26), as

amended, and may be considered as grounds for further disciplinary action (e.g., suspension or revocation of license) in the event that Respondent fails to comply with any of the requirements of this Order.



ISSUED THIS 30 DAY OF JANUARY, 2008.

STATE OF ARIZONA BOARD OF OSTEOPATHIC EXAMINERS IN MEDICINE AND SURGERY

By_

Jack Confer, Executive Director

Original "Consent Agreement to Findings of Fact, Conclusions of Law, and Probationary Order" filed this 30th day of January, 2008, with the:

Arizona Board of Osteopathic Examiners In Medicine and Surgery 9535 E. Doubletree Ranch Road Scottsdale, AZ 85258-5539

Copy of the foregoing "Consent Agreement to 15 Findings of Fact, Conclusions of Law, and Probationary Order" sent via certified, return receipt requested this 30 day of January, 17 2008, to:

Mark L. Griswold, D.O. Griswold Eye Clinic 19 1521 E. Tangerine Road, Suite 301 Oro Valley, AZ 85737

> Copies of the foregoing "Consent Agreement to Findings of Fact, Conclusions of Law, and Probationary Order" sent via regular mail this 30 day of January, 2008, to:

Blair Driggs, AAG Office of the Attorney General CIV/LES 1275 W. Washington Phoenix, AZ 85007

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James W. Stuehringer, Esq. Waterfall, Economidis, Caldwell, Hanshaw & Villamana, P.C. 5210 E. Williams Circle, Ste. 800 Tucson, AZ 85711 Attorney for Respondent